| INFORMATION DISCLOSURE STATEMENT PTO-1449 | | | ATTY. DOCKET NO. 39780-2830 P1C3 APPLICANT: Kevin | SERIAL NO.: 10/006,063 | | | | |
|---|--|---------------------------------|--|--|---------------------------------------|-----------------------|----------|--|
| | | | FILING DATE: Dece | GROUP: 1647 | | | | |
| | | ¥ï | S. PATENT DOCUME | NTS | · · · · · · · · · · · · · · · · · · · | | | |
| EXAMINER'S PATENT NO INITIALS | | DATE | NAME | CLASS | SUBCLASS | FILING DATE | | |
| PE | · | . " | | | | | | |
| | | | | | | | | |
| SEP 0 9 7004 | | | | | | | | |
| <u>\$</u> | | | | | | | | |
| TATA TRADE | | FOR | EIGN PATENT DOCU | MENTS | | | | |
| EXAMINER'S | PATENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | TRAN | SLATION | |
| INITIALS | | | | 4160) | | YES | NO | |
| | ` | | | | | | | |
| | | | | | | | | |
| | | | • | | | | | |
| | OTHER D | OCUMENTS | (Including Author, Title, | Date, Pertinent P | ages, Etc.) | | | |
| PH | GenBank, Accession No.: BC006213, Direct Submission, Submitted: April 9, 2001, Strausberg, R., et al. GenBank, Accession No.: P_AAZ52368, WO 200021986-A2, Pub Date: April 20, 2000, Walker, M. G., et. al. | | | | | | | |
| | | | | | | | | |
| · | GenBank, Accession No.: BC017312; Direct Submission, Submitted: November 5, 2001, Strausberg, R., et. al. | | | | | | | |
| | GenBank, Accession No.: P_AAC67985, WO 200063438-A2, Pub Date: Oct. 26, 2000, Gould-Rothberg, B.E., et al. GenBank, Accession No.: P_AAD12573, WO 200149728-A2, Pub Date: July 12, 2001, Kato, S., et al. | | | | | | | |
| | | | | | | | | |
| | GenBank, Accession No.: AX191506.1, WO 0149728-A, Pub Date: July 12, 2001, Kato, S., et al. | | | | | | | |
| | Hanna, J.S., et al., "HER-2/neu Breat Cancer Predictive Testing", Oathology Associates Medical Laboratores, August (1999). Hyman, Elizabeth, et al., "Impact of DNA Amplification on Gene Expression Patterns in Breast Cancer ^{1,2} ", Cancer Research 62 6240-6245, November (2002). | | | | | | | |
| | | | | | | | | |
| | Orntoft, Torben F., et al., "Genome-wide Study of Gene Copy Numbers, Transcripts, and Protein Levels in Pairs of Non-Invasive and Invasive Human Transitional Cell Carcinomas", Molecular & Cellular Proteomics 1:37-45. (2002). | | | | | | | |
| | Pollack, Jonathan the transcriptional | R., et al., "Mi program of h | croarтay analysis reveals a n uman breast tumors", PNAS | najor direct role of Vol.99, 20:12963 | f DNA copy num -12968, October | nber alter (2002). | ation in | |
| EXAMINER | Br. H | -n] | DATE CONSIDERED | | 1/04 | | | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| INFORMATION DISCLOSURE STATEMENT PTO-1449 | | | ATTY. DOCKET NO.: 39780-2830 P1C3 | | SERIAL NO.: 10/006,063 | | | | | |
|--|---|------------|--------------------------------------|-------------------|---------------------------|-------------|---------------|--|--|--|
| | | | APPLICANT: Kevin P. BAKER, et al. | | | | | | | |
| | | | FILING DATE: December 6, 2001 | | GROUP: 1647 | | | | | |
| SEP O 9 2004 | 3) | U | S. PATENT DOCUMENT | 'S | | | | | | |
| EXAMINER'S | PATENT NO. | DATE | . NAME | CLASS | SUBCLASS | FILING DATE | | | | |
| | | | | | | | • | | | |
| | | | | · | | | | | | |
| • | | | <u>.</u> | | <u> </u> | | | | | |
| | FOREIGN PATENT DOCUMENTS | | | | | | | | | |
| EXAMINĒR'S INITIALS | PATENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | YES | SLATION NO | | | |
| | | | | | | YES | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | OTHER D | OCUMENTS | (Including Author, Title, Dat | te, Pertinent P | ages, Etc.) | | | | | |
| <u> </u> | Dayhoff, Accession No.: P_AAY99362, WO 200012708-A2, Pub Date: March 9, 2000, Baker, K., et al. | | | | | | | | | |
| | Dayhoff, Accession No.: P_AAB24031, WO 200053750-A1, Pub Date: Sep. 14, 2000, Botstein, D., et al. | | | | | | | | | |
| | Dayhoff, Accession No.: P_AAE06578, WO 200149728-A2, Pub Date: July 12, 2001, Kato, S., et al. | | | | | | | | | |
| | Dayhoff, Accession No.: P_AAB36107, WO 200063438-A2, Pub Date: October 26, 2000, Gould-Rothberg, B.E., et al. | | | | | | | | | |
| | Dayhoff, Accession No.: CAC51152.1, WO 0149728-A, Pub Date: July 12, 2001, Kato, S., et al. | | | | | | | | | |
| | Dayhoff, Accession No.: AAH06213.1, Direct Submission, Submitted: April 9, 2001, Strausberg, R., et al. | | | | | | | | | |
| | Dayhoff, Accession No.: AAH17312.1, Direct Submission, Submitted: November 5, 2001, Strausberg, R., et al. | | | | | | | | | |
| | Dayhoff, Accession No.: NP_115724.1, Glia 44 (3), pp 190-204, 2003, Yonezawa, T., et al. | | | | | | | | | |
| · | Dayhoff, Accession No.: P_AAB92464, EP 1074617-A2, Pub Date: Feb. 7, 2001, Ota, T., et al. | | | | | | | | | |
| | Dayhoff, Accession No.: BAB55010.1, Direct Submission, Submitted: May 10, 2001, Isogai, T., et al. | | | | | | | | | |
| | GenBank, Accession No.: P_AAC58113, WO 200053750-A1, Pub Date: Sep. 14, 2000, Botstein, D., et al. | | | | | | | | | |
| | GenBank, Accession No.: P_AAA37044, WO 200012708-A2, Pub Date: March 9, 2000, Baker, K., et al. | | | | | | | | | |
| \ | GenBank, Accession | No.: P_AAC | 76236, WO 200058473-A2, Pub Da | ate: October 5, 2 | 000, Shimkets, R | A., et al. | | | | |
| EXAMINER | Con 1 | ton | DATE CONSIDERED | 11/17 | 1/04 | | | | | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.